## Process-Based Therapy

Stefan G. Hofmann, Ph.D.

Alexander von Humboldt Professor

LOEWE Spitzenprofessur fuer Translationale Klinische
Psychologie

Department of Clinical Psychology, Philipps-University

Marburg, Germany

#### Disclosure

SGH receives financial support from the Alexander von Humboldt Foundation (as part of the Humboldt Prize), NIH/NCCIH (R01AT007257), NIH/NIMH (R01MH099021, U01MH108168), and the James S. McDonnell Foundation 21<sup>st</sup> Century Science Initiative in Understanding Human Cognition – Special Initiative.

## Objectives

- To describe the ongoing transition from the nomothetic approach of protocol-based treatments targeting latent diseases (back) to an idiographic approach of theorybased and process-based therapy.
- To show how this transition can be used practically to target change.
- To reduce unnecessary divides and broaden the range of methods available to evidence-based therapists.

#### Clinical Psychology in Crisis

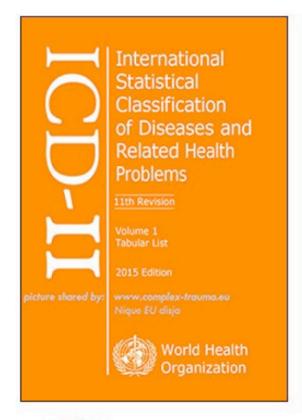
#### **Problems:**

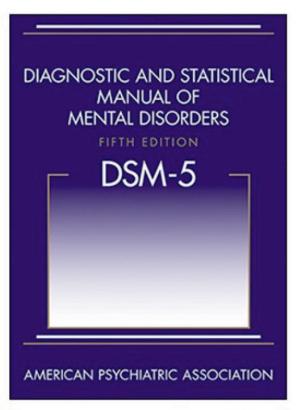
- 1. Too much focus on protocols for syndromes
- 2. Too little consideration of the individual
- 3. Too mechanistic and too little focus on treatment change

#### **The Solution:**

**Process-Based Therapy!** 

## Protocols Linked to Syndromes









## A long and ever expanding list of protocols for syndromes...

Acceptance and Commitment Therapy for Depression Acceptance and Commitment Therapy for Chronic Pain Acceptance and Commitment Therapy for anxiety disorder Acceptance and Commitment Therapy for coping with psychosis Applied Relaxation for Panic Disorder Assertive Community Treatment for Schizophrenia Behavior Therapy/Behavioral Activation for Depression Behavioral Couple Therapy for Depression Behavioral and Cognitive Behavioral Therapy for Chronic Low Back Pain

Behavioral Weight Loss Treatment for Obesity and Pediatric Overweight

Biofeedback-Based Treatments for Insomnia Cognitive Adaptation Training for Schizophrenia

Cognitive Behavioral Analysis System of Psychotherapy for

Depression

Cognitive Behavior Therapy for Insomnia

Cognitive Behavioral Therapy for Anorexia Nervosa

Cognitive Behavioral Therapy for Binge Eating Disorder

Cognitive Behavioral Therapy for Bulimia Nervosa Cognitive and Behavioral Therapies for Generalized

Anxiety Disorder

Cognitive Behavioral Therapy for Panic Cognitive and Behavioral Therapies for Social Phobia/Public Speaking Anxiety

Cognitive Behavioral Therapy for Chronic Headache Cognitive Behavioral Therapy for Schizophrenia

Cognitive Processing Therapy for Post-Traumatic Stress Disorder

Cognitive Remediation for Schizophrenia

Cognitive Therapy for Bipolar Disorder

Cognitive Therapy for Depression

Cognitive Therapy for Obsessive-Compulsive Disorder

Dialectical Behavior Therapy for Borderline Personality Disorder

Emotion-Focused Therapy for Depression

Exposure and Response Prevention for Obsessive-

Compulsive Disorder

Exposure Therapies for Specific Phobias

Eye Movement Desensitization and Reprocessing for Post-

Traumatic Stress Disorder

Family-Based Treatment for Anorexia Nervosa

Family-Based Treatment for Bulimia Nervosa Family Focused Therapy for Bipolar Disorder

Family Psychoeducation for Schizophrenia

Healthy-Weight Program for Bulimia Nervosa

Interpersonal Therapy for Depression

Illness Management and Recovery for Schizophrenia Interpersonal Psychotherapy for Binge Eating Disorder

Interpersonal Psychotherapy for Bulimia

Interpersonal and Social Rhythm Therapy for Bipolar Disorder

Multi-Component Cognitive Behavioral Therapy for Fibromyalgia

Multi-Component Cognitive Behavioral Therapy for Rheumatologic Pain

Paradoxical Intention for Insomnia

Problem-Solving Therapy for Depression

Prolonged Exposure for Post-Traumatic Stress Disorder

Psychoanalytic Therapy for Panic Disorder

Psychoeducation for Bipolar Disorder

Psychological Debriefing for Post-Traumatic Stress

Disorder

Relaxation Training for Insomnia

Reminiscence/Life Review Therapy for Depression

Schema-Focused Therapy for Borderline Personality

Disorder

Self-Management/Self-Control Therapy for Depression

Self-System Therapy for Depression

Short-Term Psychodynamic Therapy for Depression

Sleep Restriction Therapy for Insomnia

Social Learning/Token Economy Programs for

Schizophrenia

Social Skills Training for Schizophrenia

Stimulus Control Therapy for Insomnia

Supported Employment for Schizophrenia

Systematic Care for Bipolar Disorder

Transference-Focused Therapy for Borderline Personality Disorder

## ...but a limited list of effective strategies

- Contingency management
- Stimulus control
- Shaping
- Self-management
- Arousal reduction
- Coping and emotion regulation
- Problem solving
- Exposure strategies
- Behavioral activation
- Interpersonal skills

- Cognitive reappraisal
- Modifying core beliefs
- Cognitive defusion
- Experiential acceptance
- Attentional training
- Values choice and clarification
- Mindfulness practice
- Enhancing motivation
- Crisis management and treating suicidality

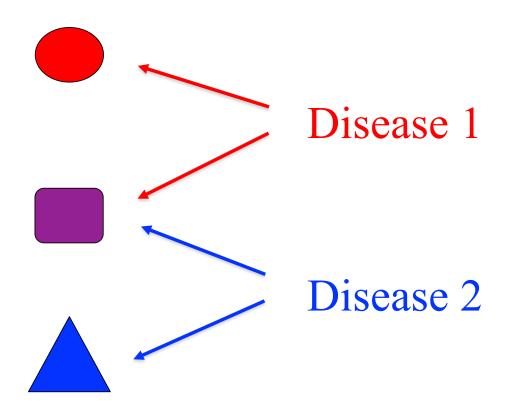
# Effective treatment is combining strategies...



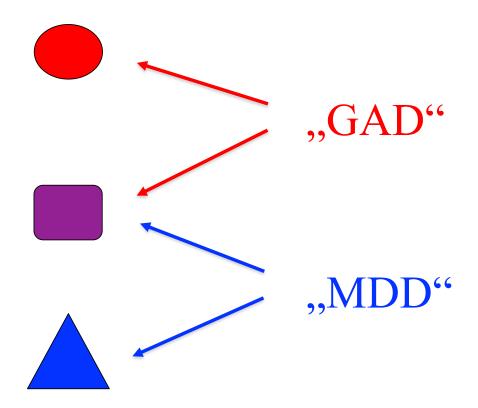
## ...to tailor them to achieve specific goal



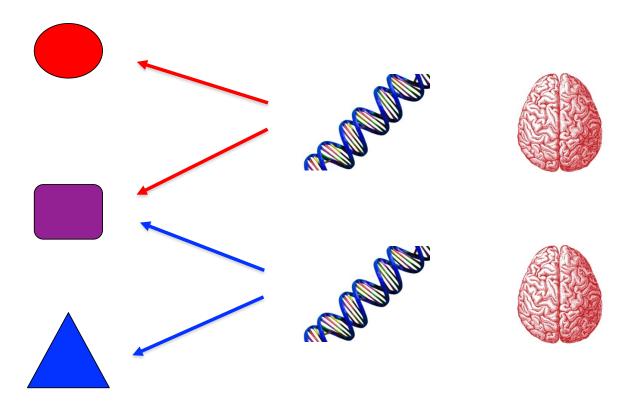
## Latent Disease Model

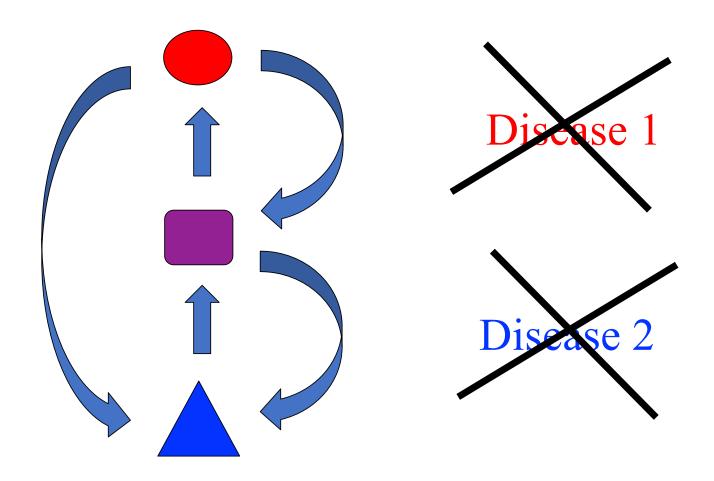


## DSM/ICD



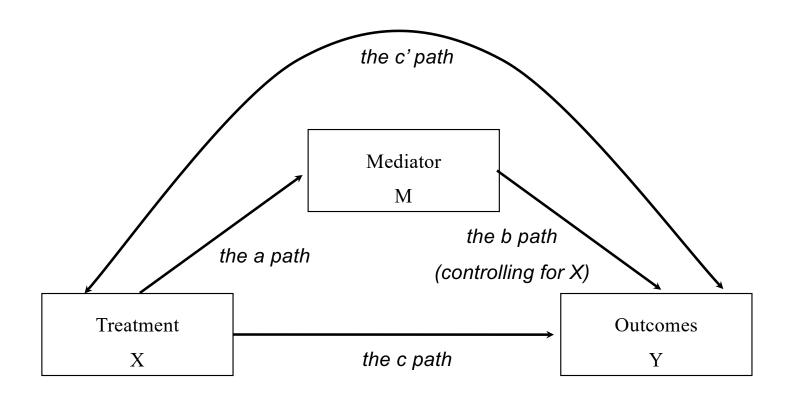
## RDoC



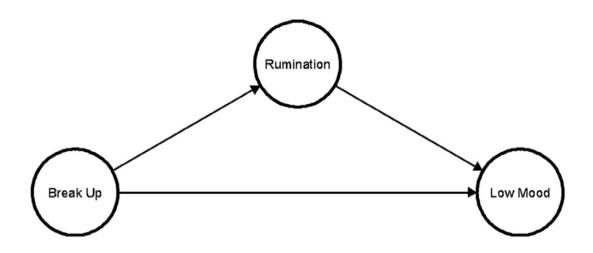


Hofmann, S. G., Curtiss, J., & McNally, M. J. (2016). A complex network perspective on clinical science. *Perspectives on Psychological Science*, 11, 597-605.

## The Usual Way To Study Mediation

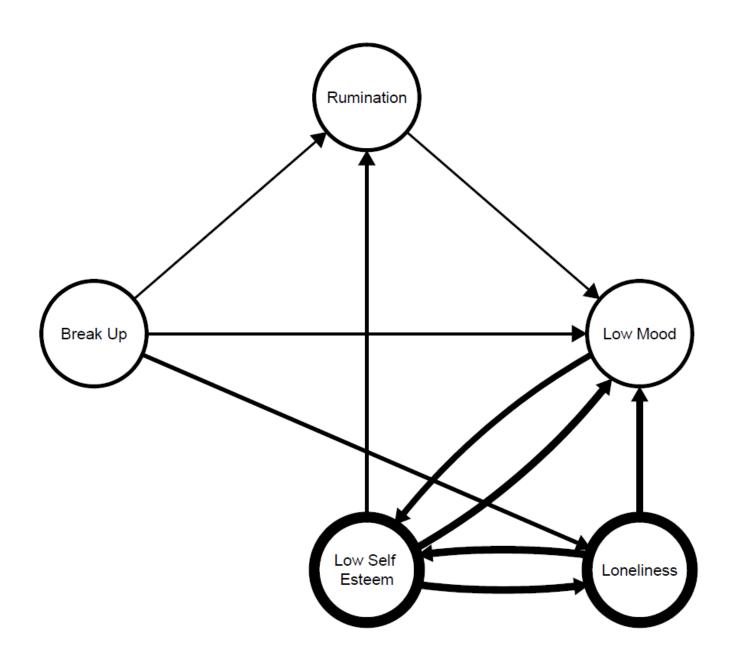


## The Conventional Mediation Model



## Problems with Baron & Kenny for Therapy Research

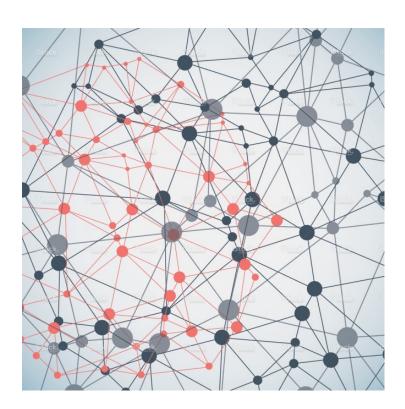
- Therapy mechanism is due to one (or a few) variables.
- Variables have to form linear relationship.
- Variables are in uni-directional relationship.
- Mediation results from groups of people are supposed to apply for the individual.



Therapy is not a linear, unidirectional, paucivariate mechanism.

Instead, it is a complex, multivariate, and dynamic process!

## Complex Network





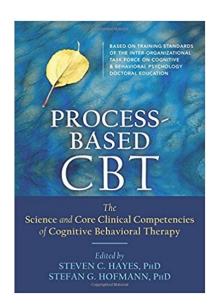






Guidelines for Cognitive Behavioral Training Within Doctoral Psychology Programs in the United States: Report of the Inter-Organizational Task Force on Cognitive and Behavioral Psychology Doctoral Education

Behavior Therapy 43 (2012) 687-697



Theoretical/Methodological/Review Article

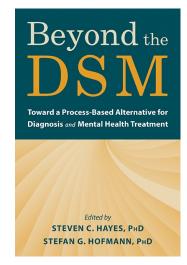
#### The Future of Intervention Science: Process-Based Therapy

**Stefan G. Hofmann** <sup>1</sup> **and Steven C. Hayes** <sup>1</sup> Department of Psychological and Brain Sciences, Boston University, and <sup>2</sup> Department of Psychology, University of Nevada, Reno



Clinical Psychological Science 2019, Vol. 7(1) 37–50 © The Author(s) 2018 Article reuse guidelines: sagepub com/journals-permissions DOI: 10.1177/2167702618772296 www.psychologicalscience.org/CPS

\$SAGE



**PERSPECTIVES** 

The third wave of cognitive behavioral therapy and the rise of process-based care

Steven C. Hayes<sup>1</sup>, Stefan G. Hofmann<sup>2</sup>

<sup>1</sup>Department of Psychology, University of Nevada, Reno, NV, USA; <sup>2</sup>Department of Psychological and Brain Sciences, Boston University, Boston, MA, USA

World Psychiatry 16:3 - October 2017

https://vimeopro.com/newharbinger/pb-cbt-1

## Process-Based Therapy (PBT)

Treatment based on the coherent application of changeable evidence-based processes linked to evidence-based procedures that ameliorate the problems and promote the prosperity of people.

## Extending the Tradition

#### Gordon Paul (1969):

"What treatment, by whom, is most effective for this individual with that specific problem, under which set of circumstances, and how does it come about?" (Paul, 1969, p. 44).

#### Hofmann and Hayes (2019):

"What core biopsychosocial processes should be targeted with this client given this goal in this situation, and how can they most efficiently and effectively be changed?"

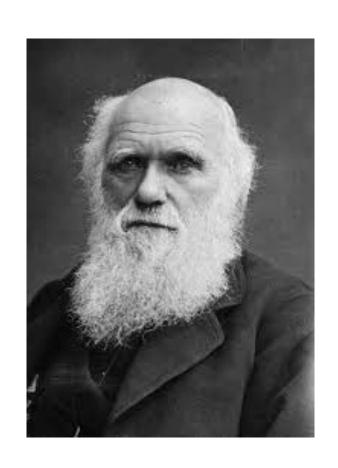
## Focus on Processes of Change

• A sequence of changeable events that lead to good outcomes, that are theoretically sensible, dynamic, progressive, multi-level, and contextual bound.

• Think of them as empirically established pathways of change.

## A Meta Model of Adaptive Change

Variation
Selection
Retention
Context
Dimension
Level



#### Model of Models - Dimensions

Consider the dimensions you have examined. Are there elements in the areas of:

- Affect
- Cognition
- Attention
- Self
- Motivation
- Overt behavior

## And These Additional Levels

#### Social and cultural factors

- Therapeutic relationship
- Social support
- Couples / Family / Friends

#### Biological and Physiological factors

- Physical abilities and disabilities
- Diet, Exercise, Sleep
- Measures of biological functioning (brain imaging; genetic and epigenetic factors)

## Variation



## Selection



## Retention



## Context



#### Extended Evolutionary Meta-Model (EEMM)

	Variation	Selection	Retention	Context		
Affective						
Cognitive						
Attentional						
Self						
Motivational						
Overt Behavioral						
Physiological						Adaptive
Social/Cultural					Mala	daptive

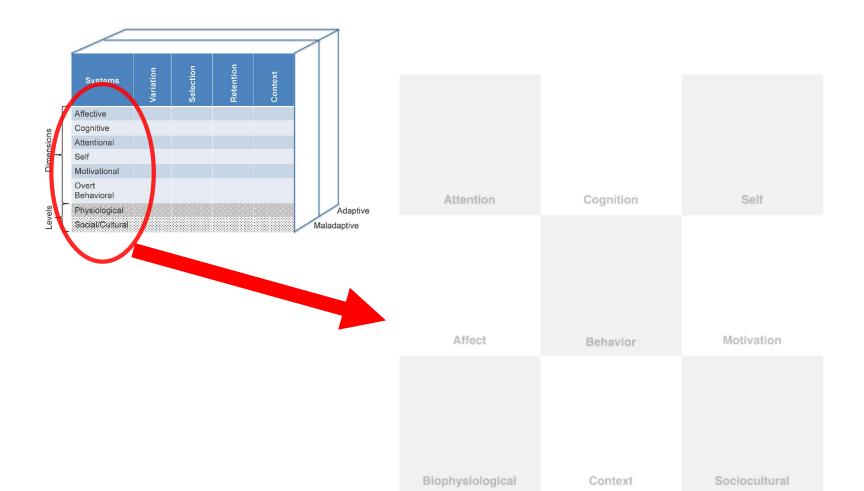
List of Top Mediators					
		1.	Beliefs		
Dimension	Cognition	2.	Cognitive defusion		
		3.	Cognitive reappraisal		
		1.	Acceptance		
	Affect	2.	Anxiety sensitivity		
		3.	Self-compassion		
	Attention	1.	Mindfulness		
		2.	Rumination & worry		
		3.	Acting with awareness		
	Self	1.	Self-efficacy		
		2.	Self-regulation		
		3.	Religiousness/spirituality		
		1.	Values		
	Motivation	2.	Intensions		
		3.	Goals		
	Behavior	1.	Coping skills		
		2.	Behavioral activation		
		3.	Avoidance		

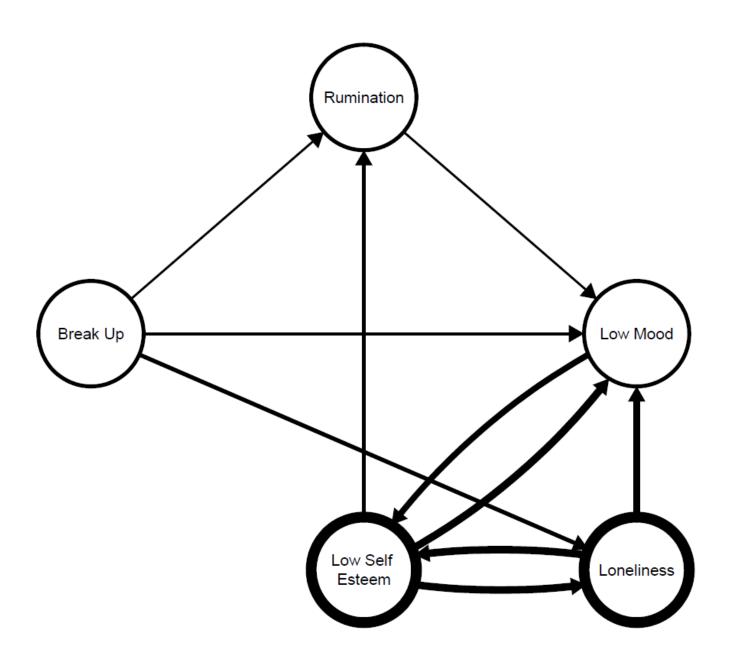
	Biophysiological	1.	Physiological
Level		2.	Dietary intake
		3.	Exercise
So	Sociocultural	1.	Parenting
		2.	Social support
		3.	Therapeutic alliance

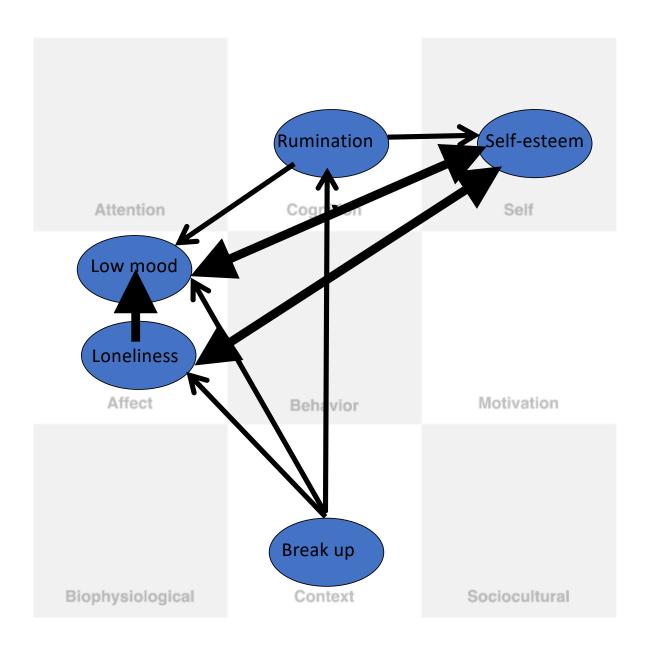
	Maladaptive				
		Rigidity or Unhealthy Variation	Selection Criteria	Retention	Context
Psychological dimensions	Cognition	Fusion	Being right: Literal coherence	Broaden and build using	Attend to threats but otherwise show limited context sensitivity
	Affect	Experiential avoidance	Feeling "good" leading to a "happy numb"		
	Self	Conceptualized Self	Belonging through conceptualized specialness		
	Attention	Rigid attention past or future	Involuntary orientation		
	Motivation	Self-gratification or external "success"	Meaning by imposition		
	Overt Behavior	Impulsivity, inaction, or avoidant persistence	Short term behavioral gains at the cost of long term competence		
Sociobiological Level	Socio Cultural				
	Bio Physiological				

	Adaptive				
		Healthy Variation	Selection Criteria	Retention	Context
Psychological dimensions	Cognition	Defusion and cognitive flexibility	Functional coherence		Use conscious attention to maintain balance and effectiveness
	Affect	Acceptance and emotional openness	Feeling fully	Broaden and build using practice, and	
	Self	Perspective taking sense of self	Belonging in Consciousness	integration into larger habit	
	Attention	Flexible, fluid, and voluntary attention to the now inside and out	Orientation	patterns	Key strength of these processes
	Motivation	Values	Meaning by choice		TT .
	Overt Behavior	Committed action	Competence	Key strength of these processes	Use conscious attention to maintain balance and effectiveness
	Socio				
Sociobiological Level	Cultural Bio				
Level	Physiological				

	Socially Extending Adaptive Psychological Flexibility Dimensions				
		Healthy Variation	Selection Criteria	Retention	Context
Group	Cognitive	Mutual understanding	Functional coherence	Broaden and build using practice, and integration into larger patterns	Use conscious attention to maintain balance
	Affective	Compassion	Feeling		
	Self	Attachment and conscious connection	Belonging		Key strength of this process
Dimensions	Attentional	Joint attention	Orientation		
	Motivational	Shared values and acknowledgment	Meaning	Key strength of these processes	Use monitoring to detect maintenance of values-
	Overt Behavior	Shared commitments	Competence	p.10083363	based commitment

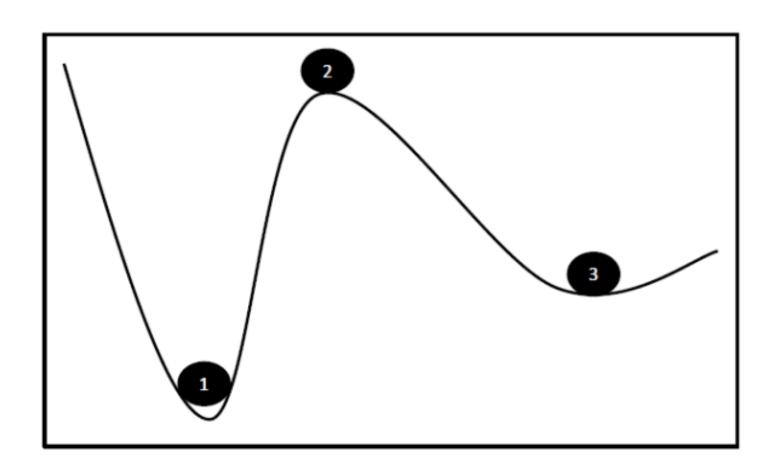






Therapy as a way to change a network from maladaptive to adaptive.

We also want adaptive networks to be self-sustaining.



# The Goal of Process-Based Therapy is to Become More Versatile (VRSCDL)

Variation and Retention of what is Successful in Context at the right Dimension and Level.

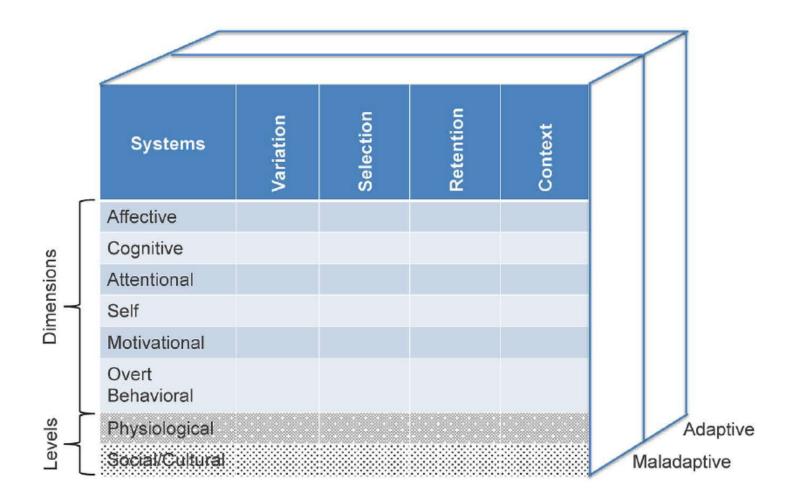
#### Strategies/Processes

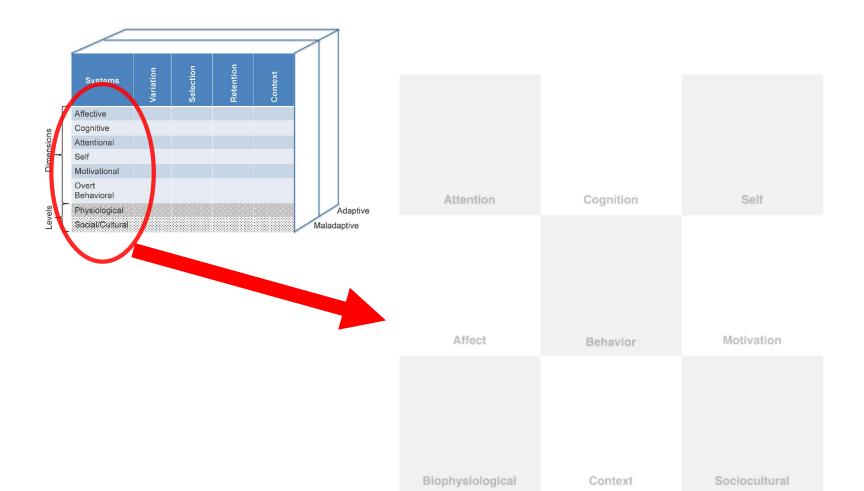
- Contingency management
- Stimulus control
- Shaping
- Self-management
- Arousal reduction
- Coping and emotion regulation
- Problem solving
- Exposure strategies
- Behavioral activation
- Interpersonal skills

- Cognitive reappraisal
- Modifying core beliefs
- Cognitive defusion
- Experiential acceptance
- Attentional training
- Values choice and clarification
- Mindfulness practice
- Enhancing motivation
- Crisis management and treating suicidality

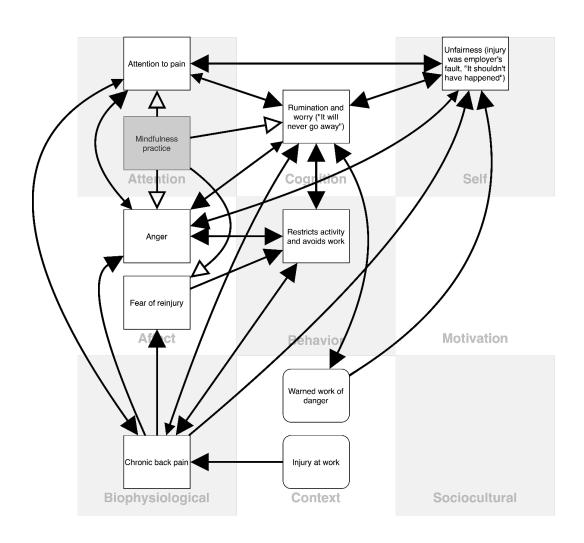
#### The Case of Maya

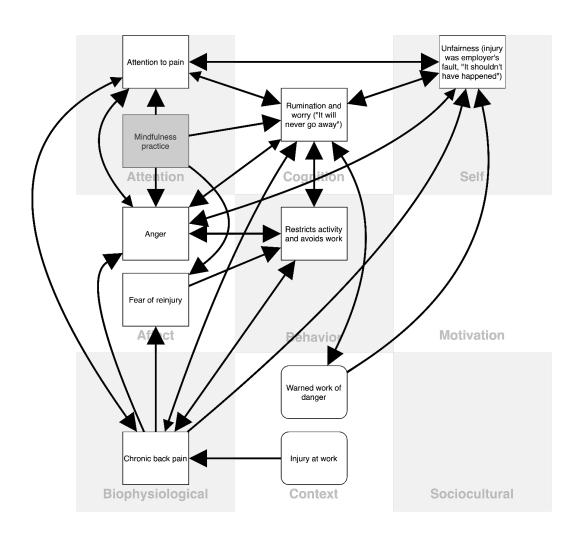
- Maya is a 30 year old nurse working in a hospital.
- One day, she had an accident where she slipped and fell in the storage room.
- As a result, she now suffers from chronic back pain.
- She resents his superiors, because she had warned them about the safety hazard in the cluttered storage room but to no avail.
- She focusses a lot on his pain, ruminates about the unfairness, and worries that ,,it may never go away".
- She is also scared of re-injuring himself, and so she barely leaves the house.

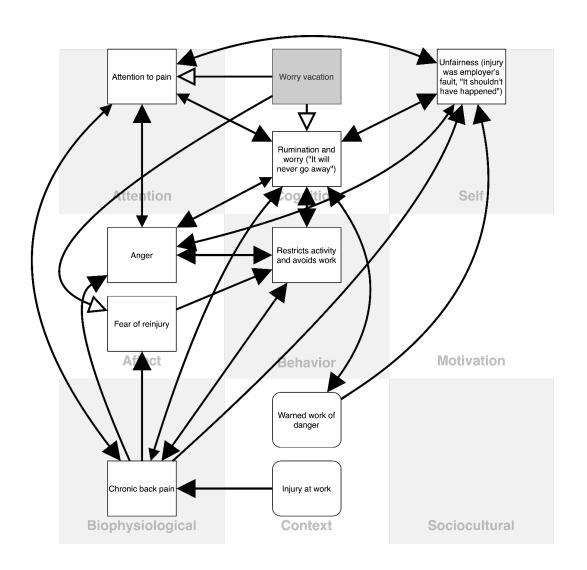




Attention	Cognition	Self
Affect	Behavior	Motivation
Biophysiological	Context	Sociocultural







#### Conventional Strategies

- Focus on DSM-defined symptoms and syndromes within the medical model.
- Focus on cognitive restructuring of schemas and automatic thoughts.
- Focus on reducing negative affect.

#### Modern Strategies

- Depart from the latent disease model
- Focus on core processes linked to theory/philosophy and techniques: Process-Based CBT!
- Embrace an idiographic and functional analytic approach
- Emphasize flexibility
- Widen the goals of treatment from merely reducing negative affect toward positive affect, social connectedness, purpose, and quality of life.

## Implications of PBT for the Future of Intervention Science

- Declined of named therapies
- Greater scalability
- Decline of general schools and rise of testable models
- Rise of mediation and moderation studies
- New forms of diagnosis and functional analysis
- From nomothetic to idiographic approaches
- Processes need to specify modifiable elements

- Importance of context
- Component analyses and the reemergence of laboratory-based studies
- New approaches to training
- Integration of behavioral and psychological science with the other life sciences
- New forms of delivery of care
- A science of the therapeutic relationship
- The role of culture

#### Conclusion

- Taking down the walls between traditions, schools, and waves.
- Foundational PBT question is: "What core biopsychosocial processes should be targeted with this client given this goal in this situation, and how can they most efficiently and effectively be changed?"
- Model of model: Evolution science.
- Utilizing functional analysis and network approach to isolate and target treatment processes.

### Questions?

